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# Novel neurobiological therapies for OCD


- Transcranial Magnetic Stimulation (TMS)
- Deep Brain Stimulation (DBS)
- Psilocybin Assisted Psychotherapy (PAP)

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- Scientific Evidence
- Clinical Efficacy
- Barriers in accessing treatment
- Progressions in the field

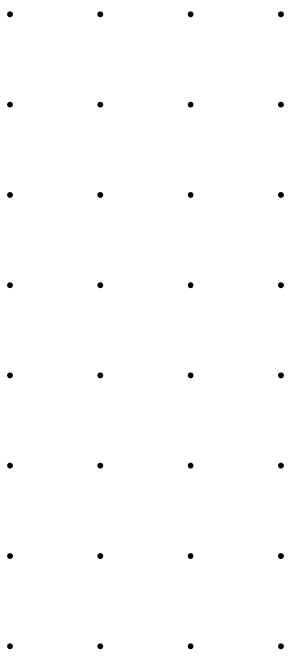
Dr Nicola Acevedo  
Research Fellow, Clinical Trial Coordinator

nacevedo@swin.edu.au  
NicAcevedo



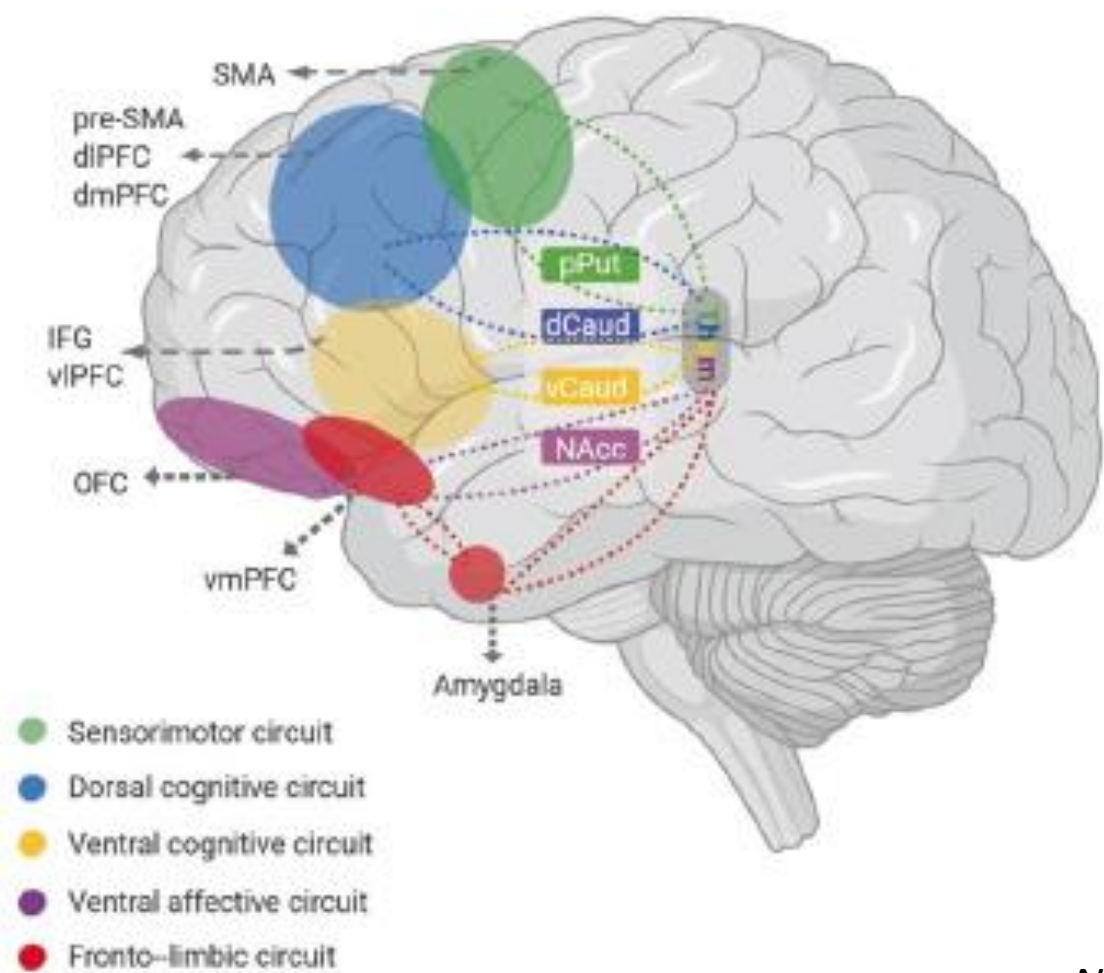
# Background- OCD outcomes

- Classified as one of the 10<sup>th</sup> most disabling illnesses<sup>1</sup>
- DSM-5- anxiety disorder, varying levels of insight
- 2-3% prevalence
- Onset- childhood or adolescence
- Chronic OCD: 5.9 hours on intrusions, 3.6 hours on compulsions per day
- First line therapies: CBT (ERP), and SSRIs.
- High treatment- resistant rates between 40-60%, and 80% relapse after pharmacological discontinuation<sup>2</sup>
- Majority (>95%) of patients experience moderate to severe symptoms, yet only a proportion of these receive specialized care (2.9-30.9%) and 65% experience serious disability<sup>3</sup>
- Treatment may alleviate OCD symptoms, yet significant symptoms and functional debilitation often remains (in responders)
- Progressions in the neurobiological and cognitive models of OCD
- Lack of integrated and specialized treatment options



# Background- Neurobiology of OCD

## Cortico-striato-thalamo-cortical (CSTC) circuits



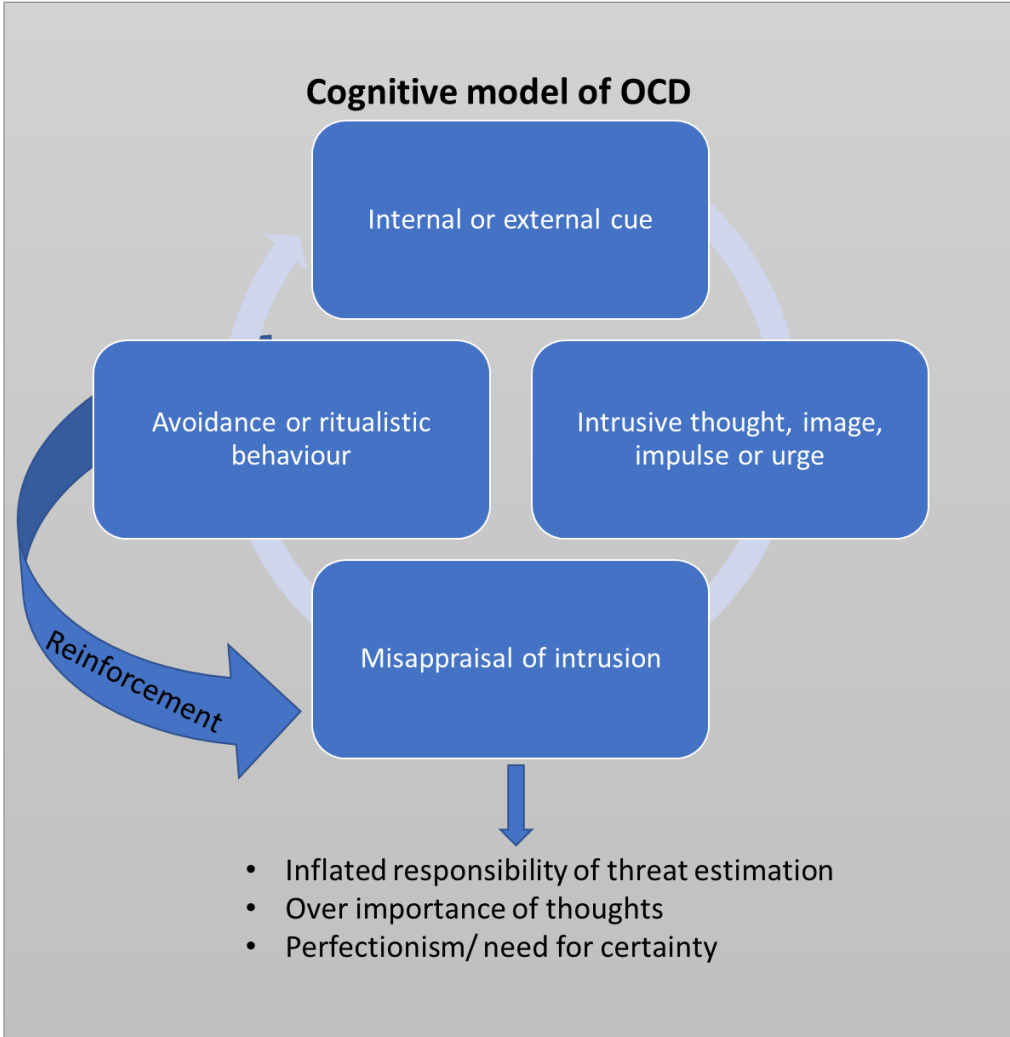
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# Background- Neurocognition and phenomenology of OCD

## Cognitive deficits

- Flexibility
- Attention
- Inhibition
- Goal-directed behaviour

Ferreira et al., 2020,  
Bijanki et al., 2021,  
Fineberg et al., 2018



## Phenomenological experiences

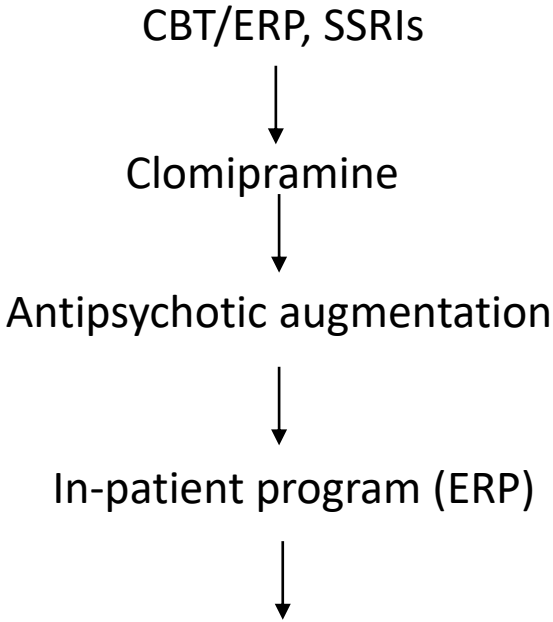
- Impaired agency
- Shame
- Guilt
- Self-ambivalence
- Feared self

de Haan et al., 2013  
Abramowitz et al., 2017

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# Background- OCD Treatments

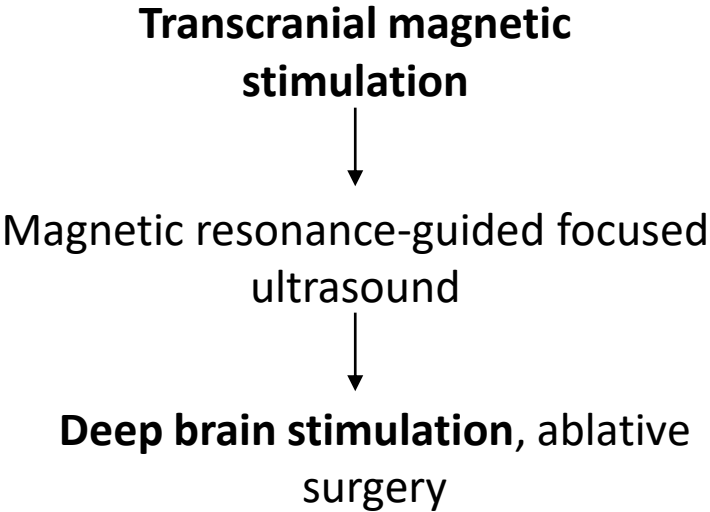
Approved



ACT, MBCT, DBT, MCT

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Investigational

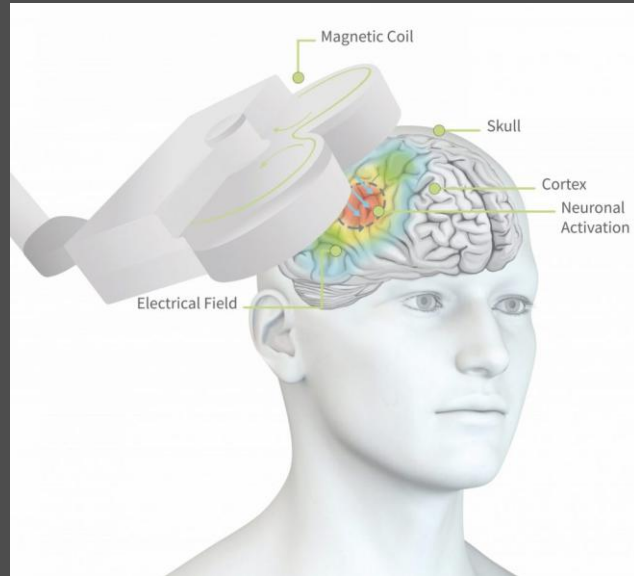


Psilocybin assisted psychotherapy

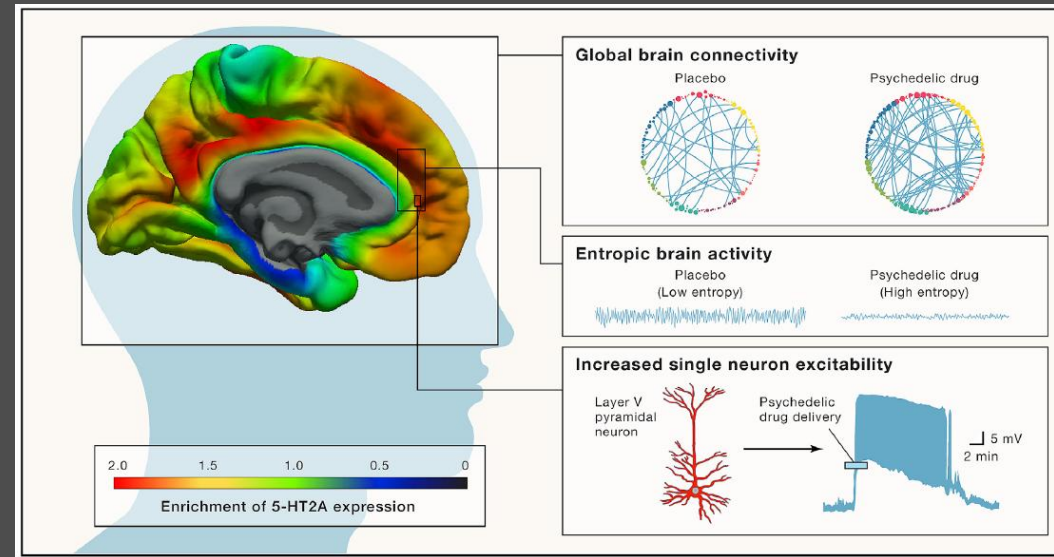
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# Background- Neuromodulation therapies

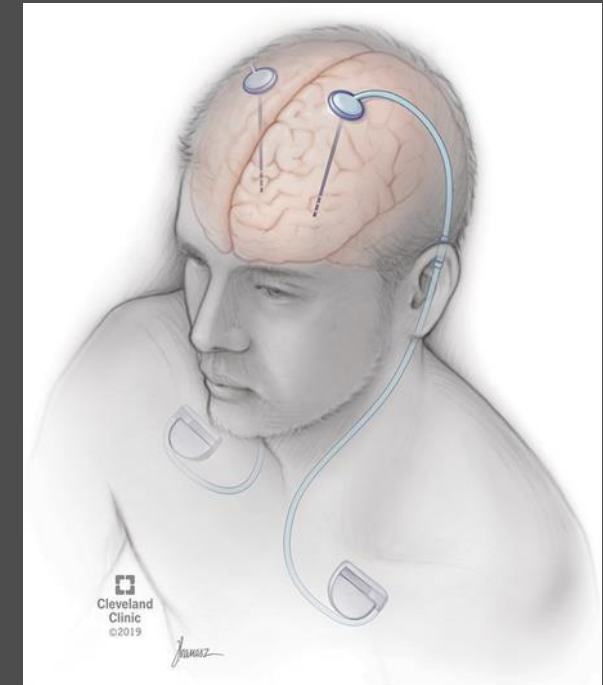
## Transcranial Magnetic Stimulation (TMS)



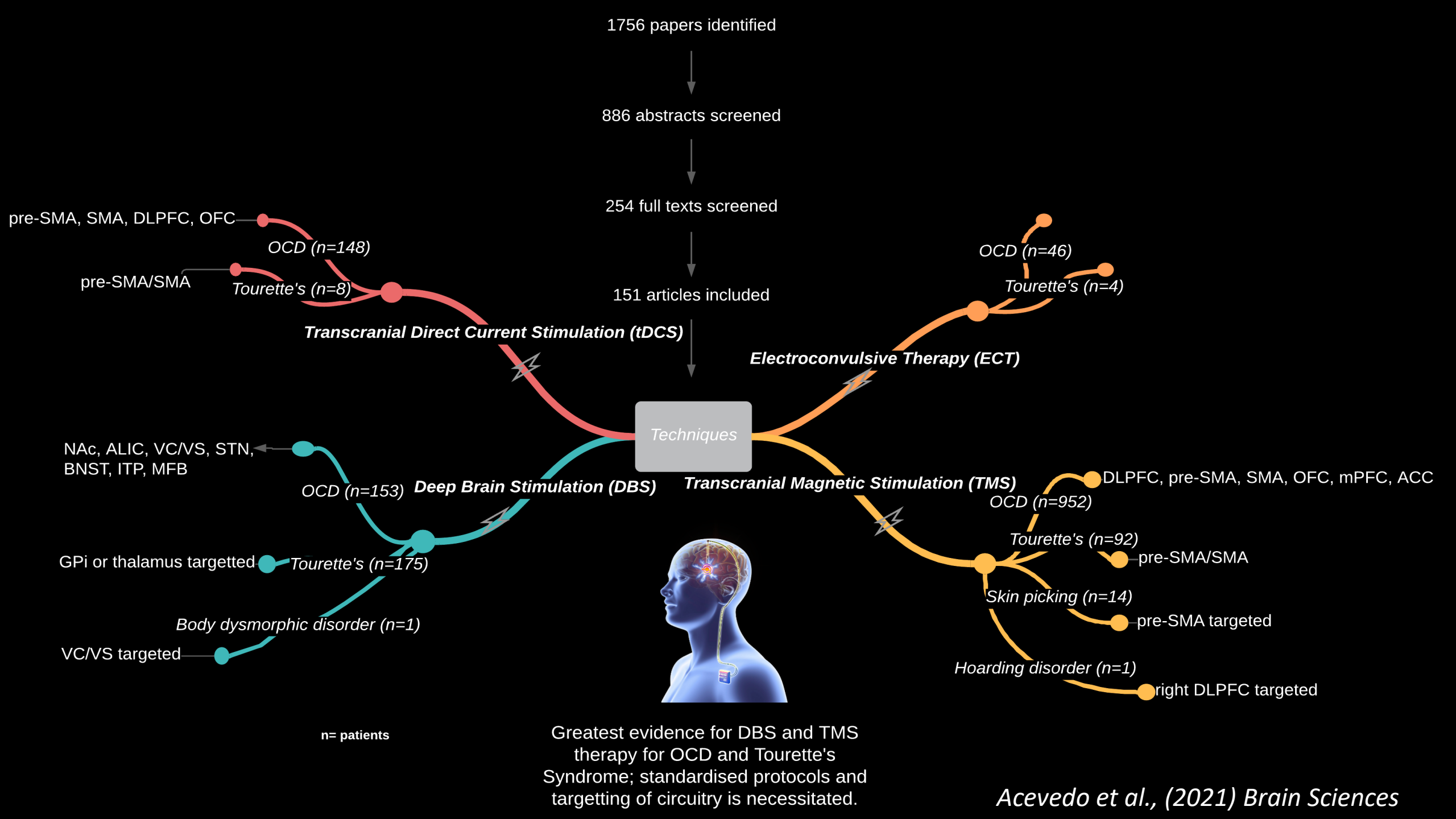
## Psilocybin Assisted Psychotherapy (PAP)



## Deep Brain Stimulation (DBS)

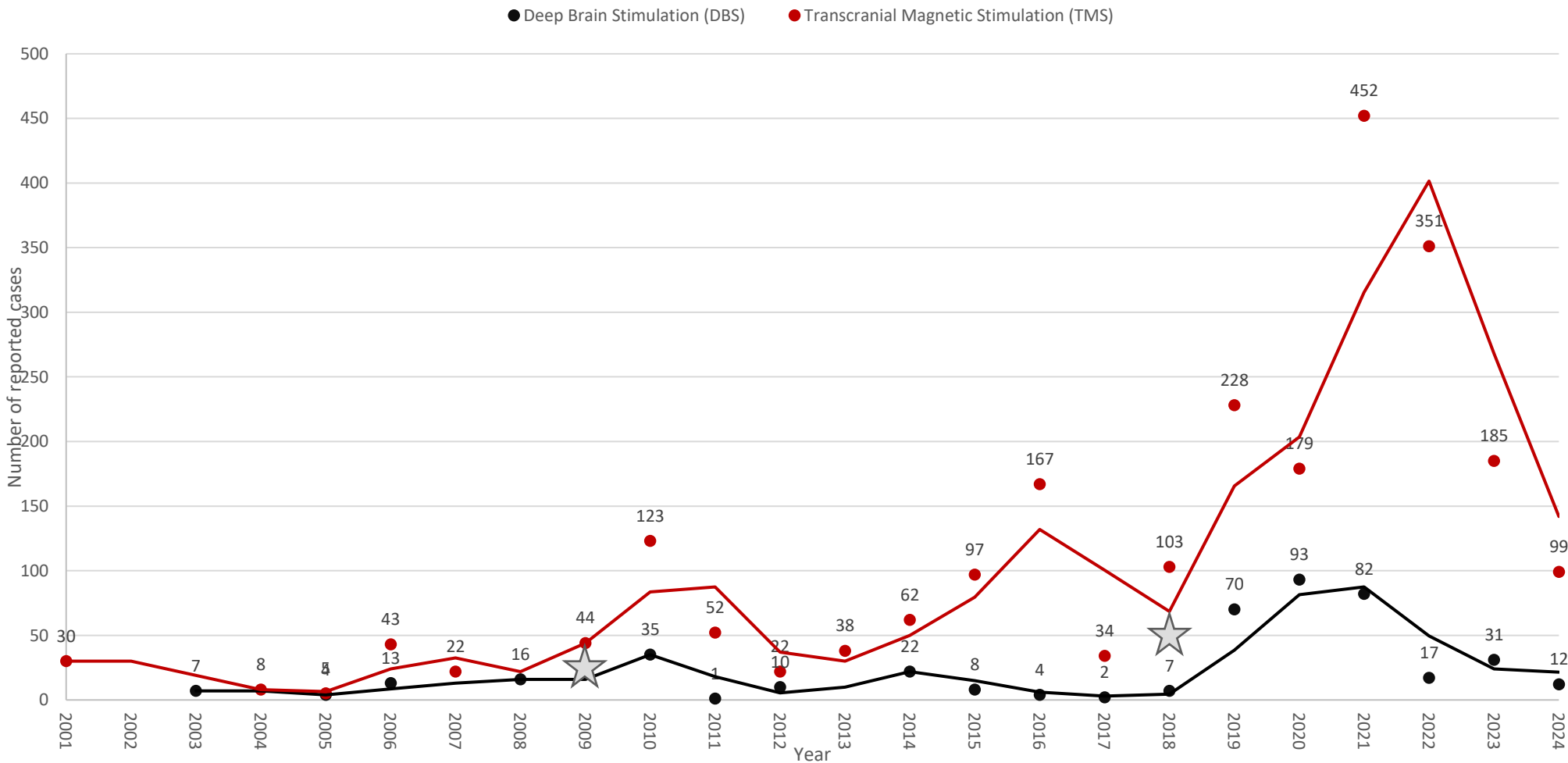


- Tools to create a shift in the patient
- 'Resetting' of the circuitry
- Foster engagement in psychotherapy
- Combination with conventional therapies



# Background

## Timeline of reported OCD cases treated with DBS and TMS



*Acevedo et al., (2024) Expert Review of Neurotherapeutics*



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# Patient management

## Treatment effect

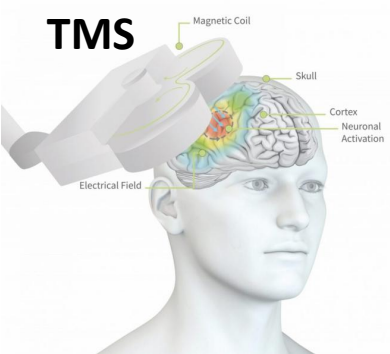
- Between 15-30 sessions (3-6 weeks)
- Generally, a linear improvement, sustained at 3-month follow up

## Treatment regime

- 5 sessions/ week for 3-10 weeks
- Prescribed medication generally continued

## Side-effects

- Headaches (~35%)
- No serious side effects reported



## Treatment effect

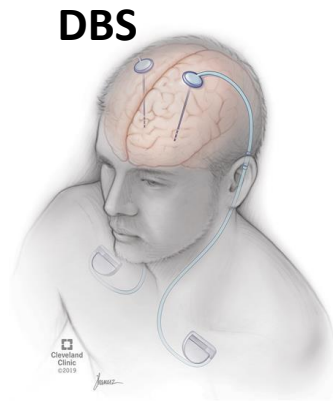
- Highly variable: within weeks or months, fluctuating improvement
- 25% reach clinical response within 1 month, 75% within 3 months (25% take 6-20 months)<sup>9</sup>

## Treatment regime

- Pre-operative: screening, education, consent
- Surgery
- Post-operative: extensive programming adjustments, psychotherapy, psychosocial support, medication management.

## Side-effects

- Surgical: ~5%
- Device: feeling of extension lead-10%, lead breakage- 3%
- Stimulation: anxiety-25%, hypomania-40-45%, memory complaints- 9%, dizziness/nausea-7%, disinhibition-7%<sup>10,11</sup>

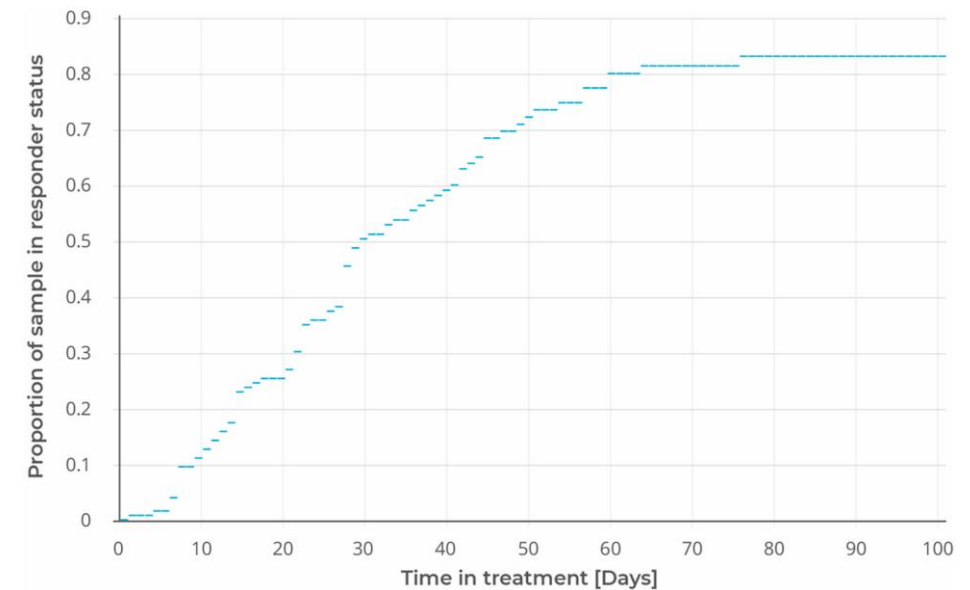


# TMS: Current evidence

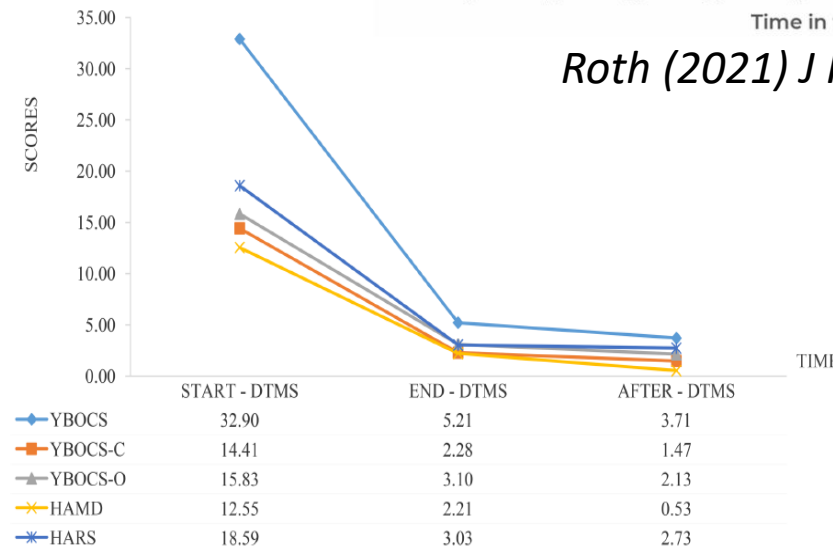
- 37 RCTs and 20 open label trials
- Inconclusive response rates- 14%-80% in RCTs
- Heterogeneity in stimulation protocols
- Low treatment sessions (10-20 sessions)
- High placebo effects
- RCT that led to FDA approval (n=100): 38% responders in active vs 11% in sham<sup>12</sup>

## Naturalistic clinical evidence

- FDA approved deep rTMS protocol (29 sessions) + symptom provocation
- Response in 58% across 22 centres- increased to 78% at 2 month follow up<sup>13</sup>
- Response in 100% (n=29)<sup>14</sup>



*Roth (2021) J Psychiatric Research*



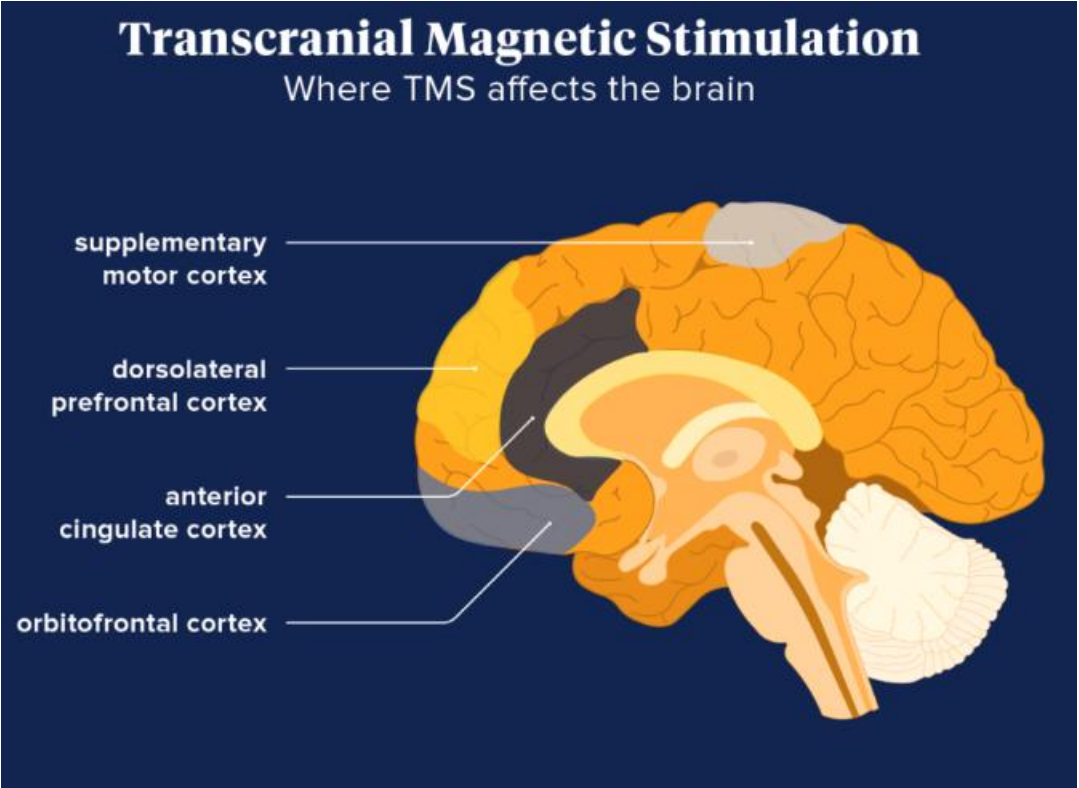
Y-BOCS= Yale-Brown Obsessive Compulsive Scale, O = Y-BOCS Obsession Scores, C =

Y-BOCS Compulsion Scores. HDRS = 17-item Hamilton Depression Rating Scale. HARS

= Hamilton Anxiety Rating Scale.

*Arikan et al., (2022) Clinical EEG and Neuroscience*

# TMS: Current evidence



## Meta-analysis and clinical recommendations

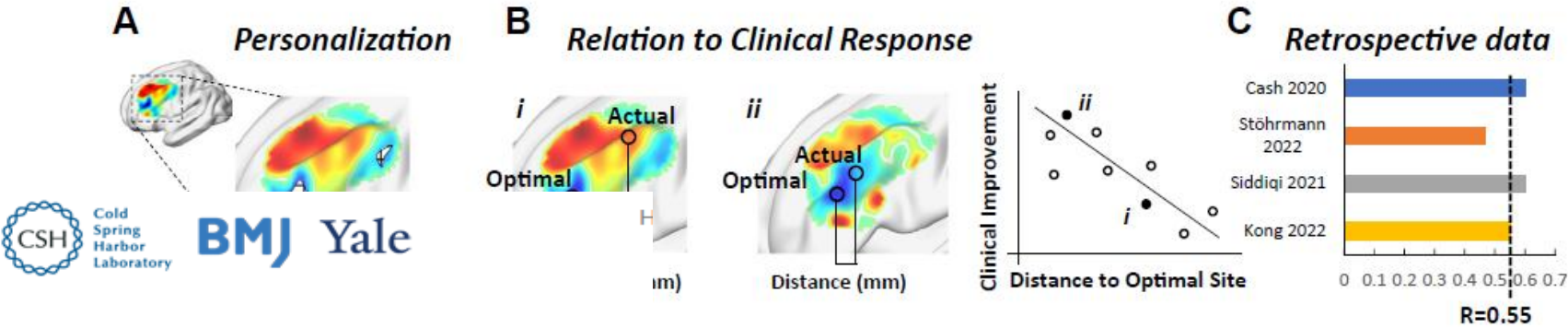
- Medium effect size (Hedges  $g = .47-.64$ )<sup>16-18</sup>
- Optimal targets: right DLPFC, bilateral DLPFC, SMA
- OCD treatment guidelines support TMS as an augmented treatment<sup>19-21</sup>
- TMS guidelines report inconclusive evidence for OCD <sup>16-18, 22,23</sup>

## Clinical characteristics associated with response

- Younger age
- Less disease duration
- Less OCD and depression symptom severity
- Lower treatment resistance- Level of treatment resistance may be a driving factor in heterogeneity of outcomes<sup>17</sup>

# TMS: Progressions

- Sequential dual targeting (Donse et al., 2017; Stubberman 2024)
- Combination therapy with SSRIs (Badaway et al., 2010)
- Accelerated protocols (theta burst stimulation)
- Personalised targeting



🔔 Follow this preprint

## Clinical Response to fMRI-guided Compared to Non-Image Guided rTMS in Depression and PTSD:A Randomized Trial

Desmond J. Oathes, Almaris Figueroa Gonzalez, Julie Grier, Camille Blaine, Sarai D. Garcia, Kristin A. Linn  
doi: <https://doi.org/10.1101/2024.07.29.24311191>

This article is a preprint and has not been peer-reviewed [what does this mean?]. It reports new medical research that has yet to be evaluated and so should not be used to guide clinical practice.



# DBS: Current evidence

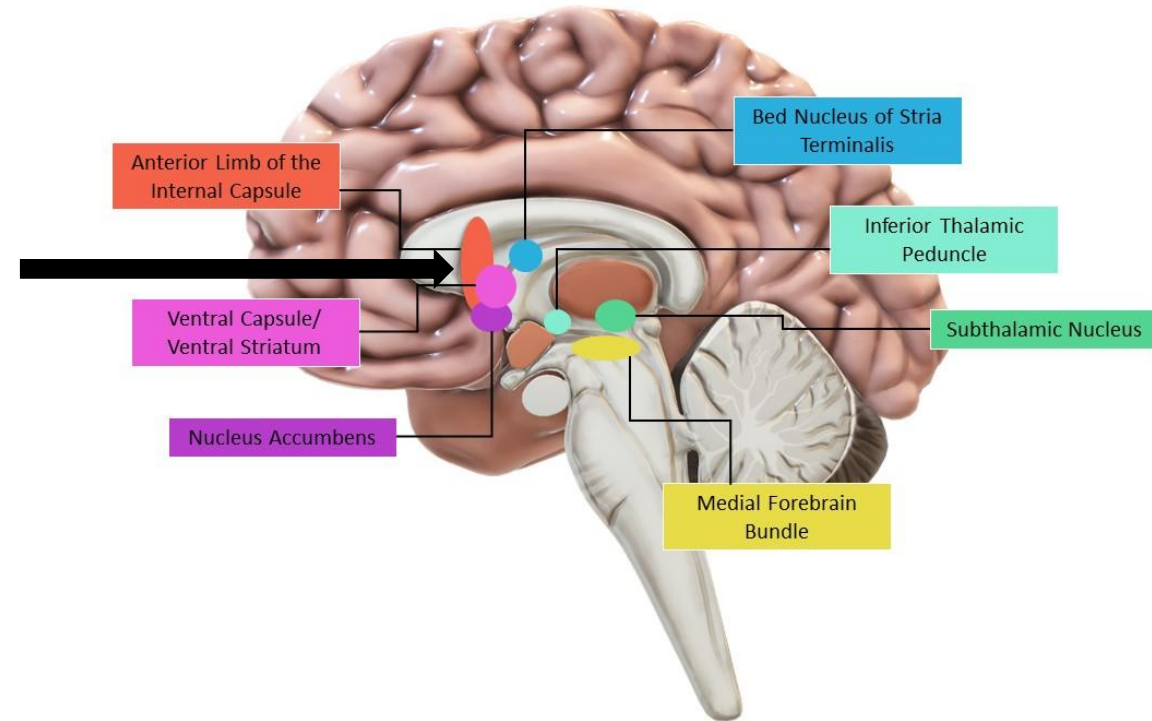
- 7 RCTs: 5 demonstrating clinically significant outcomes
- Response rate- 60% (long term response of 70%)
- Average symptom reduction of 45%
- Optimal target: Ventral Anterior Limb of the Internal Capsule (ALIC)

## Naturalistic clinical evidence

- 19 open label studies- robust and long-term treatment effects (up to 9 year follow up)

## Clinical characteristics associated with clinical response

- Good insight
- Later age of onset
- Hoarding, perfectionism, symmetry symptoms and personality disorder- poor response





# DBS: Crisis in access to care

- Global barriers in accessing treatment- a crisis in access to care is proposed, DBS should not be offered as a last resort but as a synergistic approach with conventional therapies<sup>24</sup>
- Insurance denial- violation of mental health acts thus discrimination for mental health patients, placing increased burden on patients, families and health care systems<sup>30</sup>
- DBS therapy for refractory OCD is an established therapy<sup>25-29</sup>
- Resistance from the psychiatric community

## Neuropsychiatry

Review

### Efficacy of deep brain stimulation for treatment-resistant obsessive-compulsive disorder: systematic review and meta-analysis

Ron Gadot <sup>1</sup>, Ricardo Najera,<sup>1</sup> Wayne K Goodman,<sup>2</sup> Ben Shofty,<sup>1</sup>

► Additional supplemental material is published online only. To view, please visit the journal online (<http://dx.doi.org/10.1116/jnnp-2021-328738>).

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RG and RN contributed equally.

Received 27 December 2021  
Accepted 22 May 2022

#### ABSTRACT

Deep brain stimulation (DBS) is an established intervention for treatment-resistant obsessive-compulsive disorder (TR-OCD). We assessed current evidence on the efficacy of DBS in alleviating OCD and comorbid depressive symptoms including neuroimaging and available evidence from recent trials and a deep of bias analysis than previously available. PubMed, EMBASE databases were systematically queried Preferred Reporting Items for Systematic review and Meta-Analyses guidelines. We included studies primary data on multiple patients who received therapy with outcomes reported through the Yale Obsessive-Compulsive Scale (Y-BOCS). Primary outcomes included Y-BOCS mean difference and percent reduction as well as responder rate ( $\geq 35\%$  reduction) at last follow-up. Secondary effect measures included standardised depression scale reduction. Deep brain stimulation was performed on random controlled (RCTs) and non-randomised trials. The studies from 2005 to 2021, 9 RCTs (n=97) and non-RCTs (n=255), were included in systematic and meta-analysis based on available outcome. A random-effects model indicated a meta-analysis average 1.4 point or 47% reduction (n=0.01).

#### Viewpoint

### Deep brain stimulation for treatment-refractory obsessive-compulsive disorder should be an accepted therapy in Australia

Philip E Mosley<sup>1,2,3,4,5</sup> , Dennis Velakouli, Rodney Marsh<sup>2,4</sup>, Adith Mohan<sup>8,9</sup>, David Perminder S Sachdev<sup>8,9</sup> 



#### Abstract

Deep brain stimulation has shown promise for the treatment of obsessive-compulsive disorder (OCD). With the recent publication of the first Australian clinical trial, there are now four therapy. Together with recent data identifying a biological basis and that has been successfully reproduced, studies conducted as well as recent, large, open trials supporting the role

Commentary

### Commentary

### Deep brain stimulation for treatment-refractory obsessive-compulsive disorder should be an accepted therapy in Australia

Nicola Acevedo<sup>1</sup>  and Susan Russell<sup>1,2</sup> 

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DOI: 10.1177/00048674211049344

Mosley and colleagues (2021) recently

by Mosley with optimism, another RCT demonstrating efficacious outcomes (Barc with no programming of the ventral striatum (VC/S) had the supporting evidence for an target for OCD, with a of 62–83% from chronic more recent reports of the adjacent white matter ventral anterior limb capsule (ALIC) as a motor classification (i.e., Deny Guzick et al., 2020). The to consider anatomical DBS targeting, progress reporting. Particularly regions in which stimulation region may modulate or regions may be concurrent within an individual (the

ANZJP

Australian & New Zealand Journal of Psychiatry  
1–2

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In Brief

### Call to revise the Royal Australian and New Zealand College of Psychiatrists' clinical memorandum on deep brain stimulation for obsessive-compulsive disorder

Nicola Acevedo<sup>1,2</sup> , David J Castle<sup>3</sup> , Peter Bosanac<sup>2,4</sup> and Susan L Russell<sup>1,2</sup> 

We commend the Royal Australian and New Zealand College of Psychiatrists (RANZCP) for the July 2022 clinical memorandum on deep brain stimulation (DBS) therapy for psychiatric indications (RANZCP, 2022). However, for refractory obsessive-compulsive disorder (OCD), the memorandum (1) does not adequately report the level of scientific evidence and (2) clinical efficacy; (3) errone-

ously significant improvements (Acevedo et al., 2021; Gadot et al., 2022; Mar-Barrutia et al., 2021; Martinho et al., 2020). It is necessary to highlight these statistics as it allows reference to other treatments and evaluation of efficacy in line with relevant criteria. Meaningful clinical changes are also achieved in other domains: 47% of OCD DBS patients reach full response for comorbid depression (Gadot et al.,

and all previous investigations (including case studies), thus not OCD and depression cases as stated in the memorandum. Our quality assessment (Acevedo et al., 2021) specifically of OCD DBS trials, graded out of 11, identified the majority (79%) of such trials (excluding case studies) to be of good/high quality (8–11); a rating of 10 was given to six studies, a rating of 9 for seven studies and two studies each received a rating of 8, 7, and

31

ANZJP

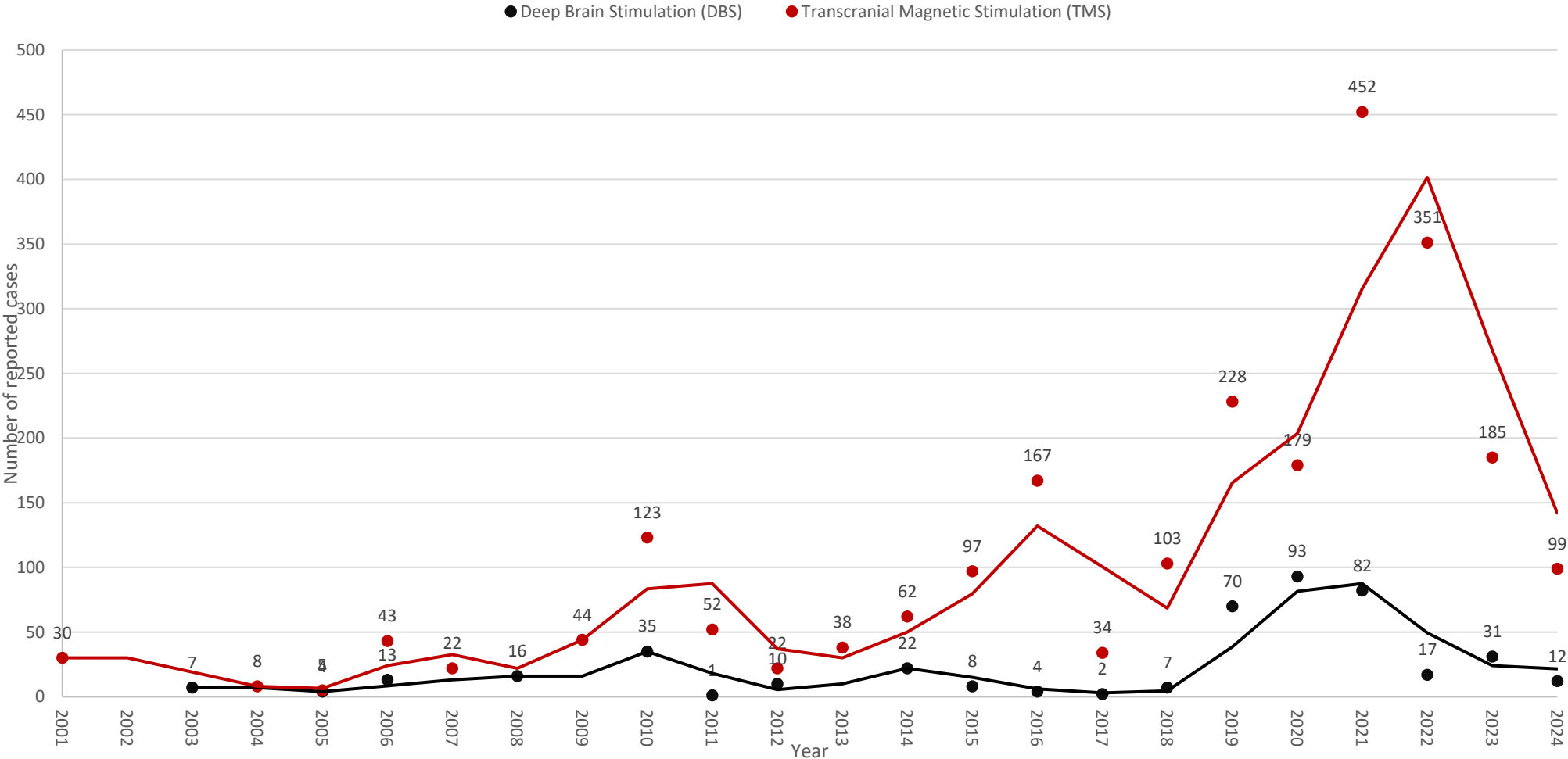
Australian & New Zealand Journal of Psychiatry  
2023, Vol. 57(10) 1304–1307  
DOI: 10.1177/00048674231184410

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# OCD DBS cases reported each year



DBS for Parkinson's= ~160,000 cases  
DBS for OCD= ~450

~47% symptom improvement  
~45% symptom improvement

~22% quality of life improvement  
~85% quality of life improvement



# DBS trial: Clinical trial outcomes

Open label trial (n=8) of DBS of the Nucleus Accumbens

Responders: 75% (6-9 weeks) – disease duration of 23 years

Symptomatic changes

Obsessions and compulsions (10 months- 7 years): 45%

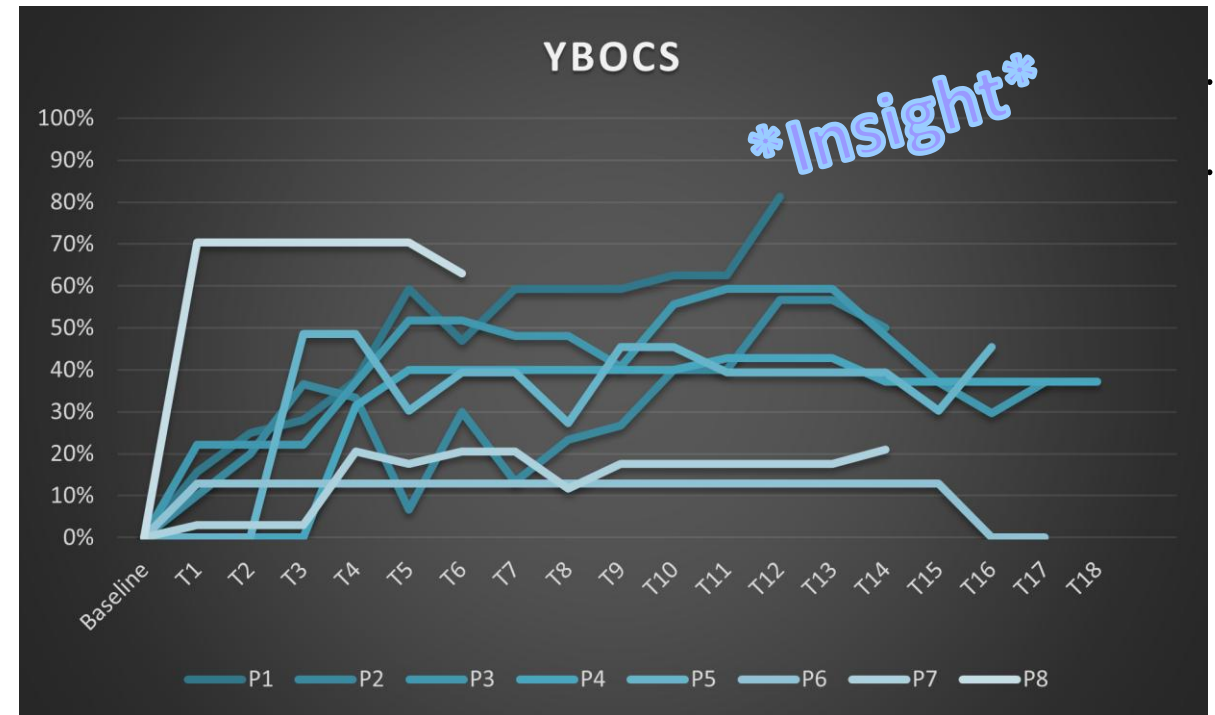
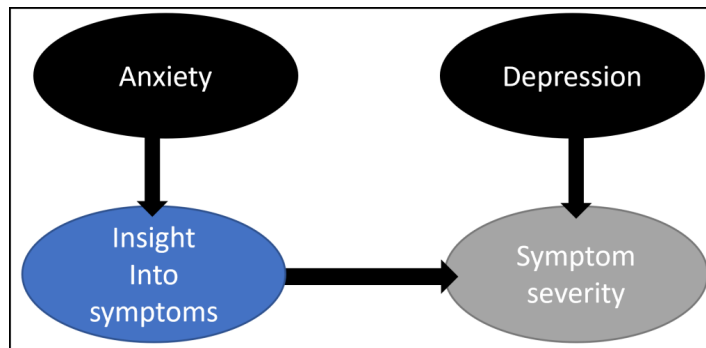
Depression: 42%

Anxiety: 41%

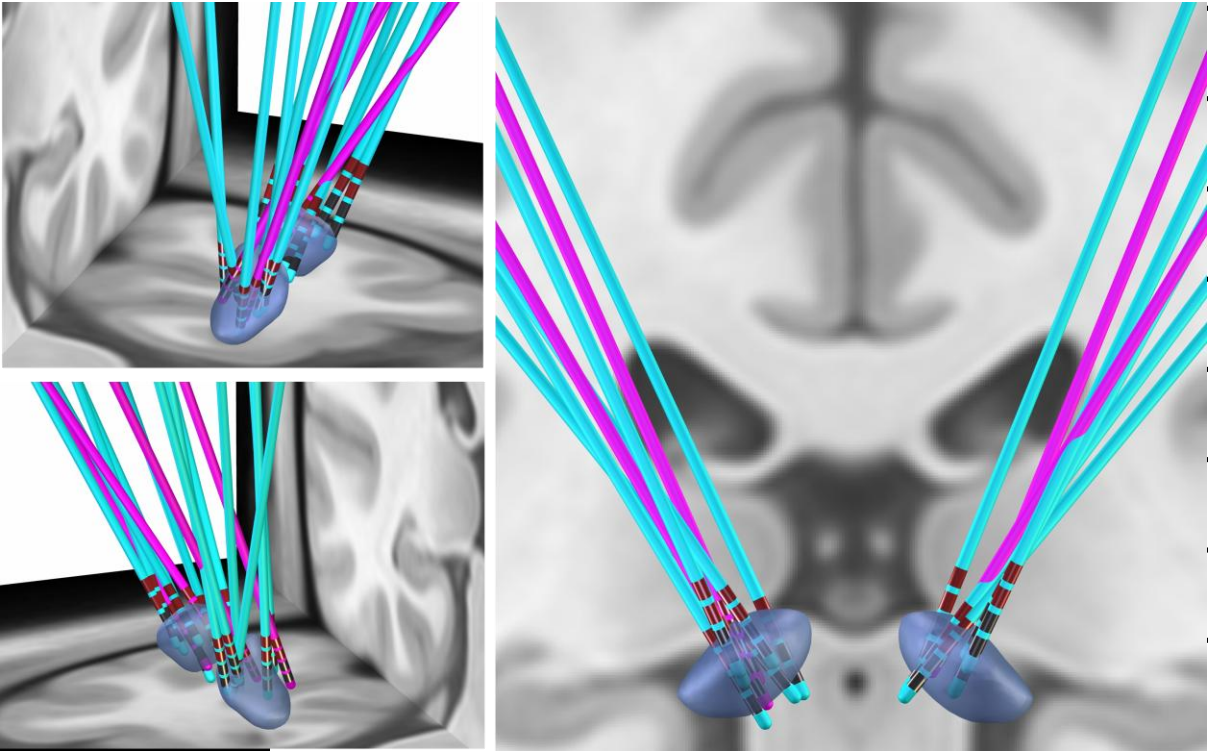
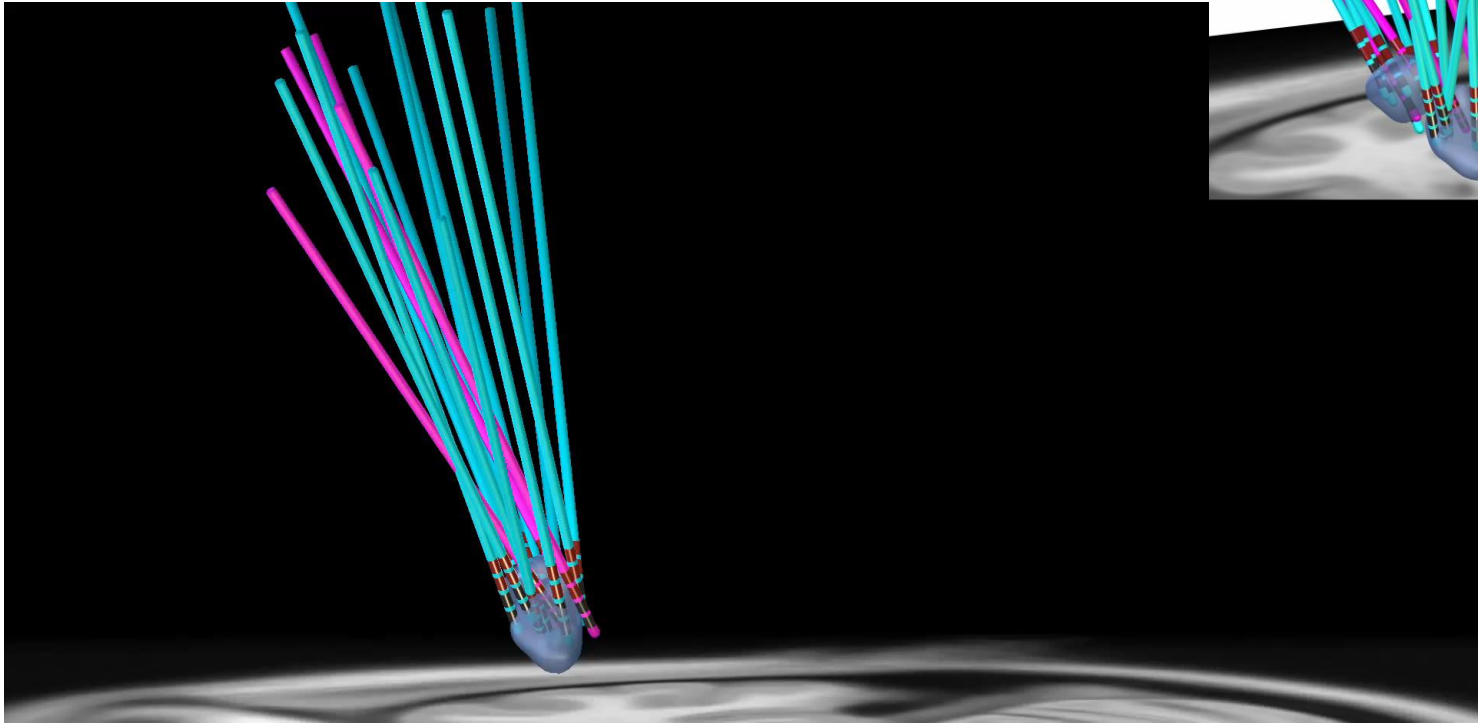
Mixed linear modelling:

Initial changes in anxiety and depression

Insight into symptoms predicted changes in symptom severity (p=.008)

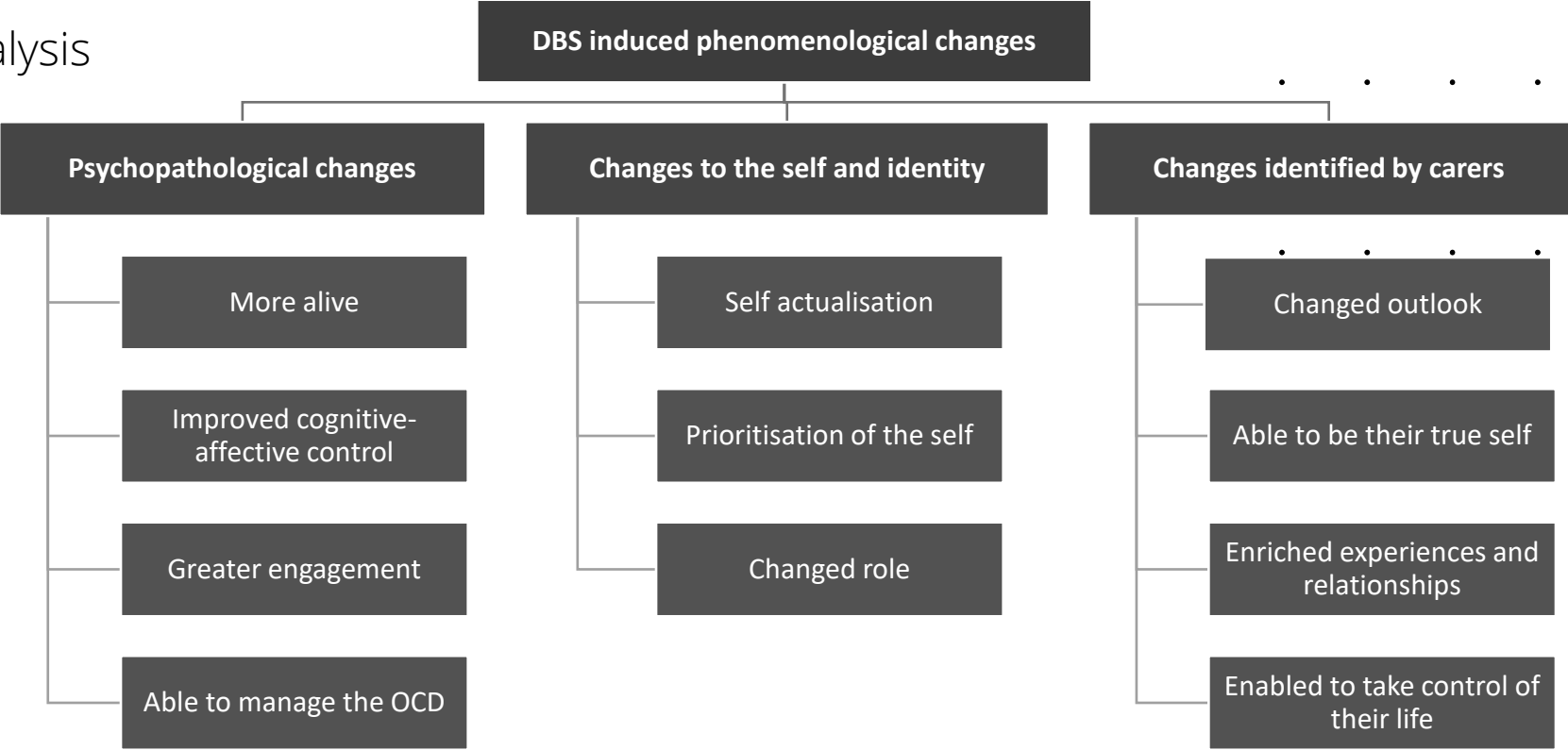


DBS trial: Lead localisation



# DBS: Lived experiences

- Open ended interviews with OCD DBS patients and carers
- Interpretive phenomenological analysis (IPA)
- Inductive and latent approach



*brain sciences*

an Open Access Journal by MDPI

Phenomenological Changes Associated with Deep Brain Stimulation for Obsessive Compulsive Disorder: A Cognitive Appraisal Model of Recovery

Nicola Acevedo; David Castle; Peter Bosanac; Susan Rossell



# Framework of phenomenological changes

“Anything is possible, if I put my mind to it anything is possible, I could try and do anything if I wanted to, nothing is out of my reach”

“It’s just been joyous, to feel as if life can be wonderful and exciting and interesting and doable”

“I wouldn’t be here without the DBS, that’s for sure”

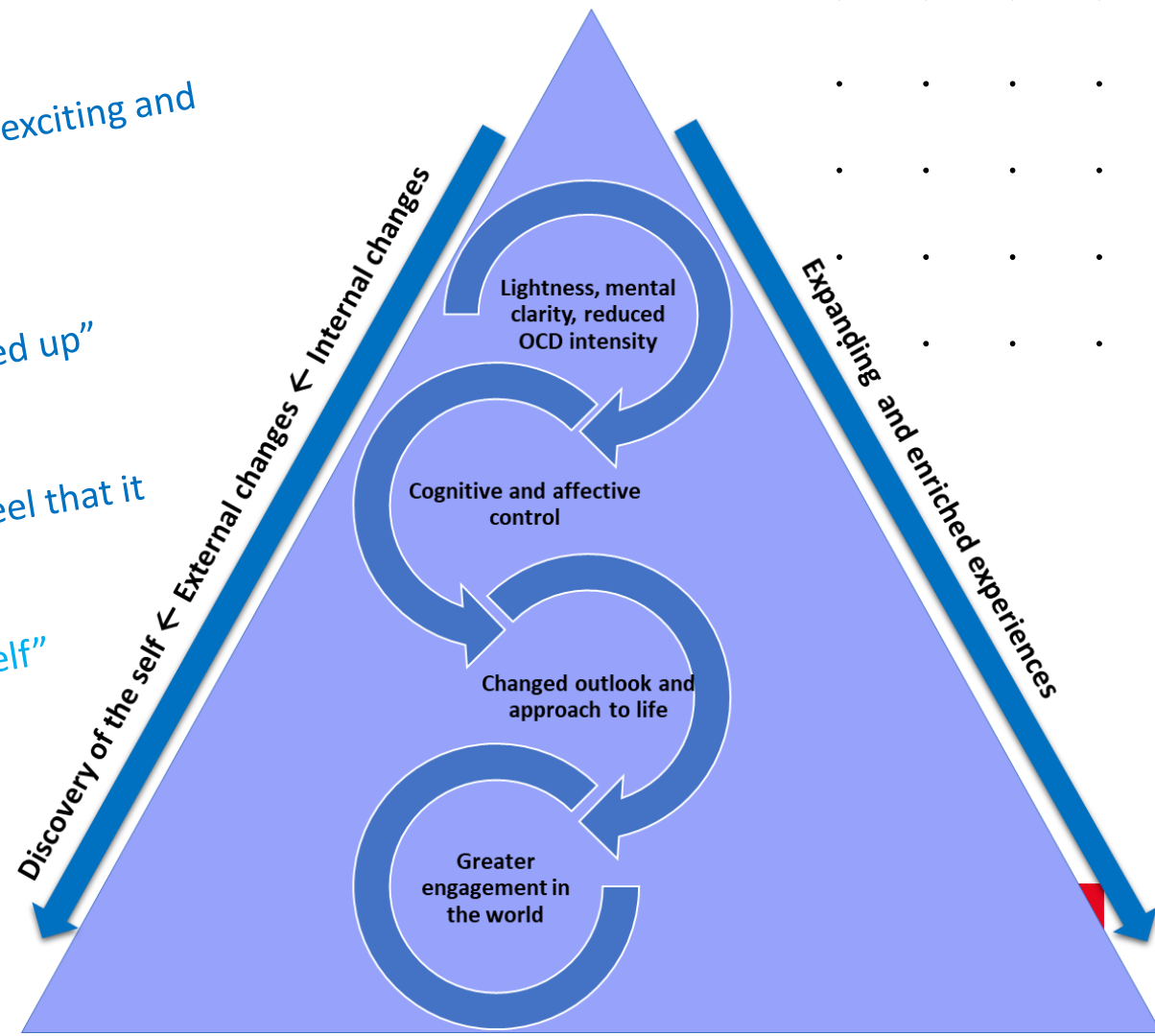
“An expanding horizon opened up”

“All the clouds had been lifted”

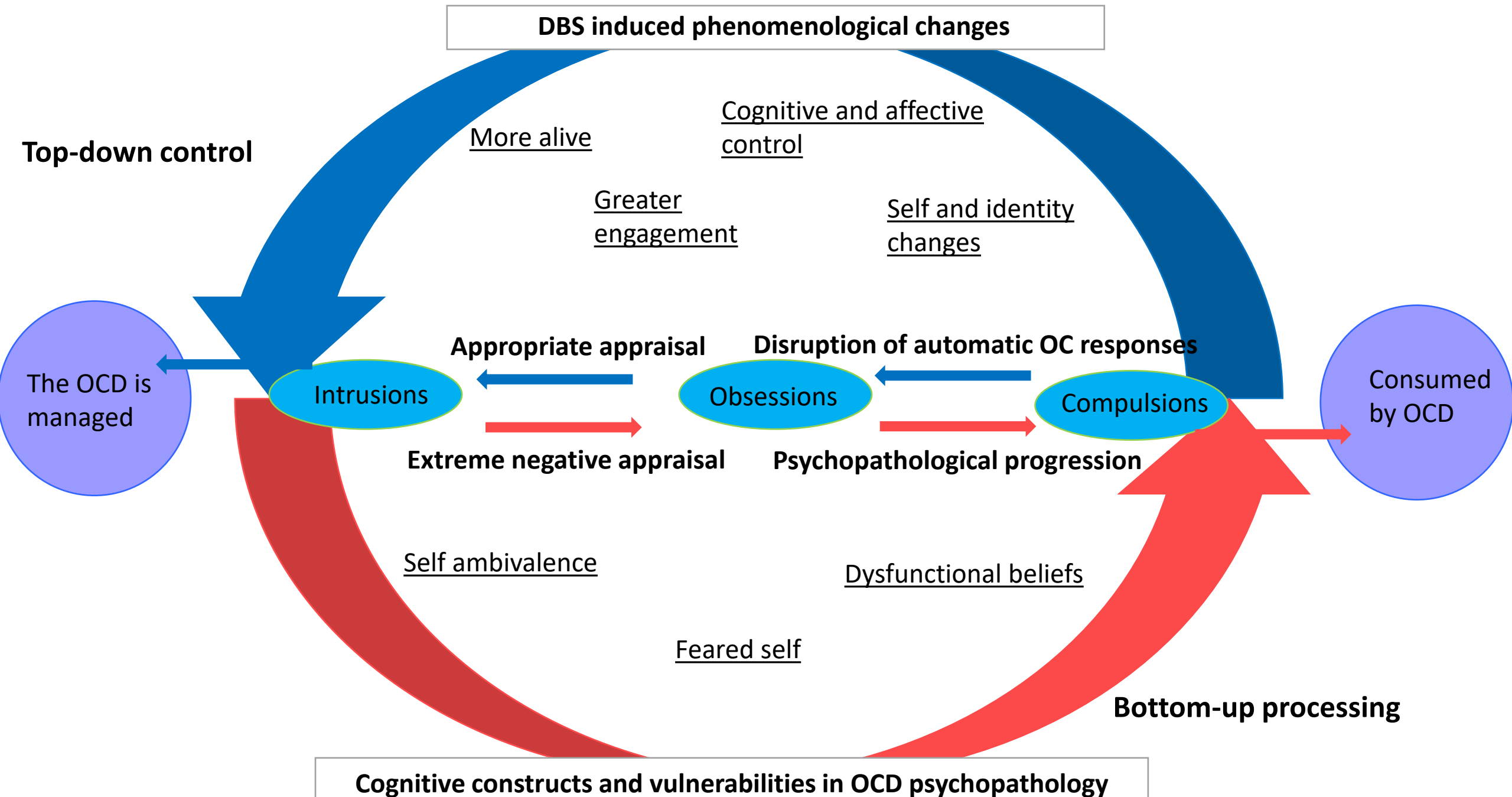
“It’s beauty that I saw before, and I knew that it was beautiful, but I didn’t feel that it was beautiful, and now I actually feel the beauty of it”

“It’s actually a discovery of identity... it’s a discovery of the self”

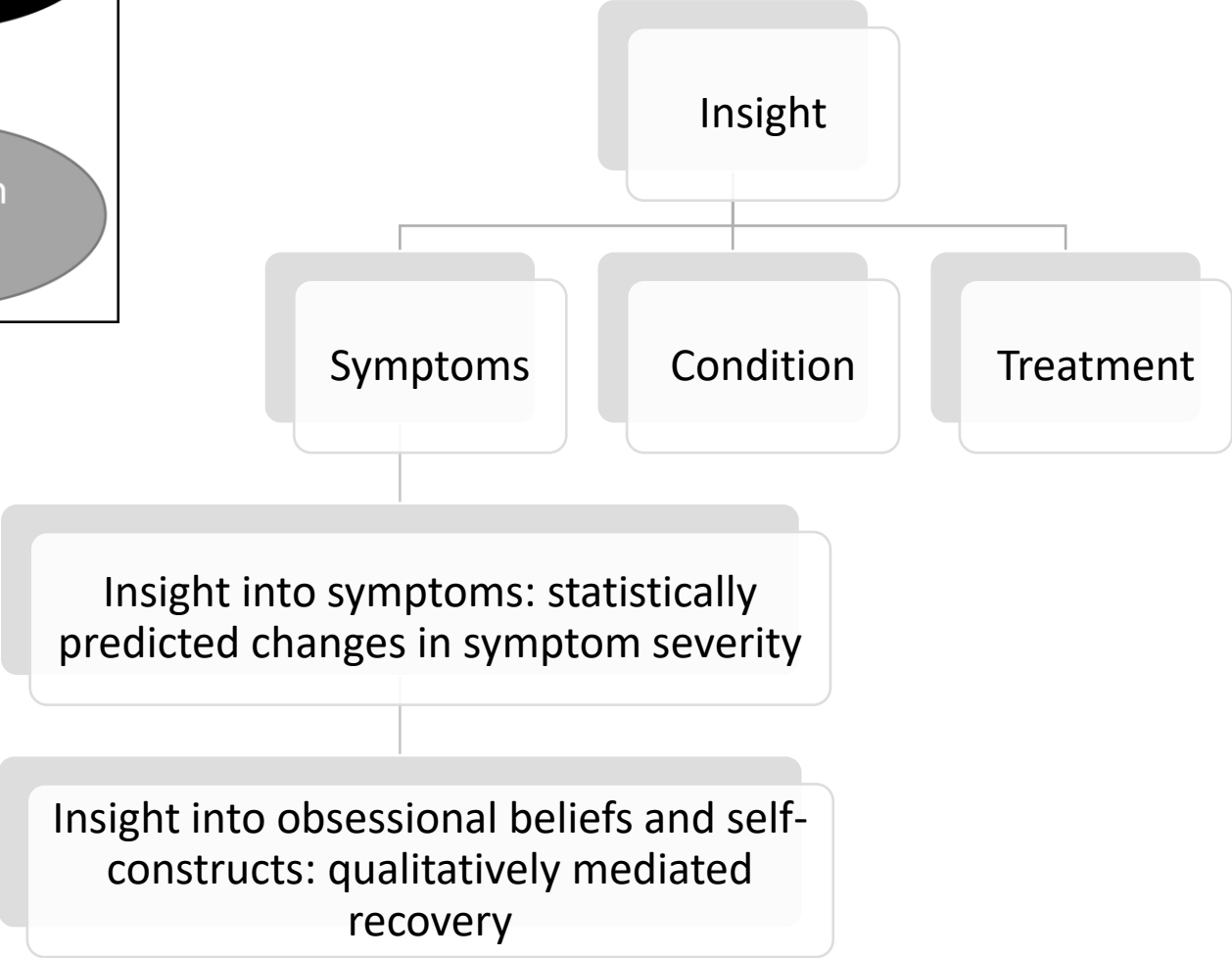
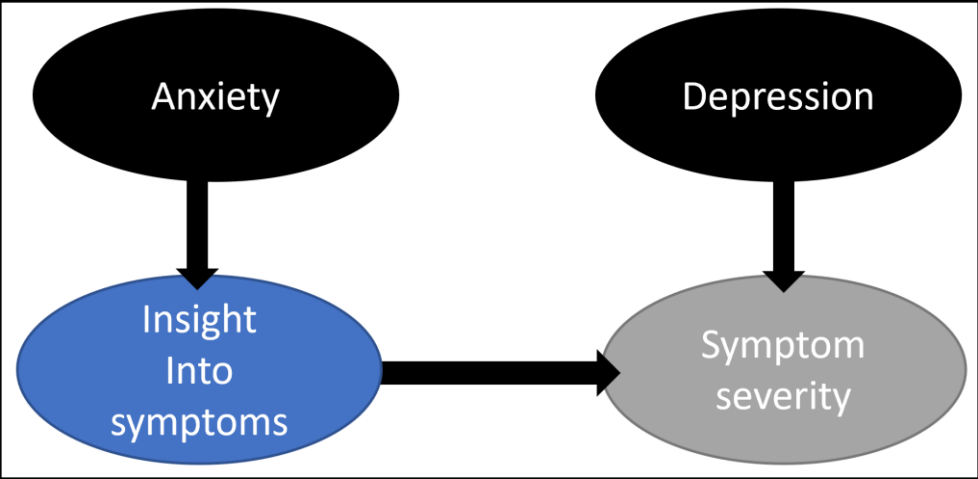
‘I feel like I am growing into who I am supposed to be, well not who I am supposed to be but who I am.’



# Theoretical model of recovery, on the cognitive appraisal of intrusions



# DBS: mechanisms



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# DBS: Clinical guideline

- Adjunct cognitive therapy augments and consolidates DBS effects
- Multidisciplinary and specialised support for symptomatic and psychosocial recovery
- Improved psychoeducation and peer support services

Viewpoint

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## Clinical recommendations for the care of people with treatment-refractory obsessive-compulsive disorder when undergoing deep brain stimulation

Australian & New Zealand Journal of Psychiatry  
1-7

DOI: 10.1177/00048674221100947

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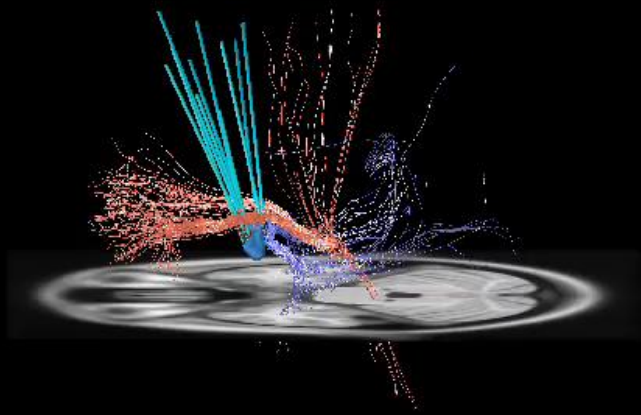
Nicola Acevedo<sup>1</sup> , David Castle<sup>2,3</sup> , Clare Groves<sup>4</sup>,  
Peter Bosanac<sup>2,5</sup>, Philip E Mosley<sup>6,7,8</sup> and Susan Rossell<sup>1,5</sup> 

### Abstract

Deep brain stimulation is an emerging therapy for treatment-refractory obsessive-compulsive disorder patients. Yet, accessibility is limited, treatment protocols are heterogeneous and there is no guideline or consensus on the best practices. Here, we combine evidence from scientific investigations, expert opinions and our clinical expertise to propose several clinical recommendations from the pre-operative, surgical and post-operative phases of deep brain stimulation care for treatment-refractory obsessive-compulsive disorder patients. A person-centered and biopsychosocial approach is adopted. Briefly, we discuss clinical characteristics associated with response, the use of improved educational materials, an evaluative consent process, comprehensive programming by an expert clinician, a more global assessment of treatment efficacy, multi-disciplinary adjunct psychotherapy and the importance of peer support programs. Furthermore, where gaps are identified, future research suggestions are made, including connectome surgical targeting, scientific evaluation



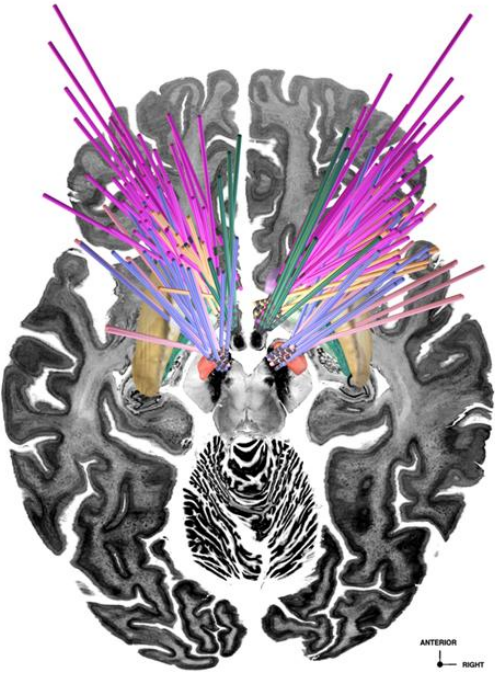
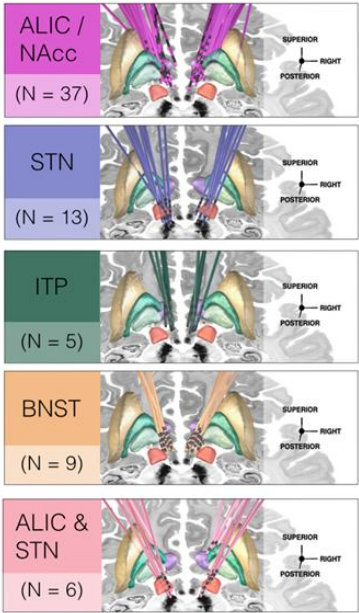
## Connectome approach





# DBS: Progressions

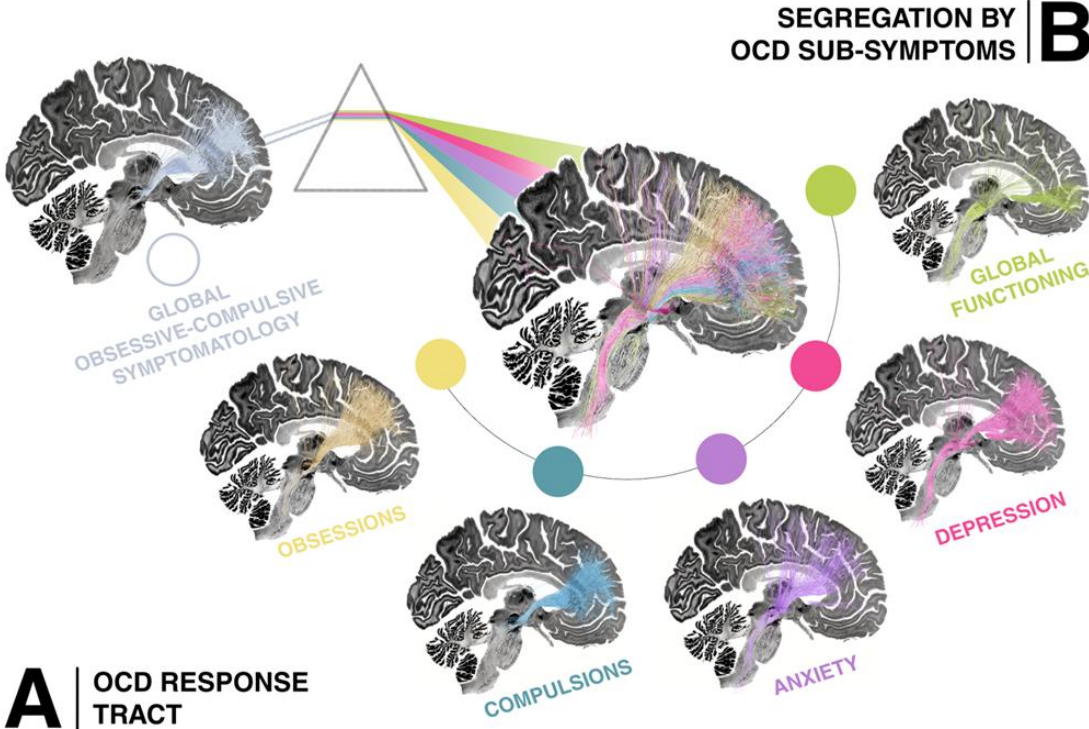
## OCD COHORTS BY DBS TARGET ZONE



## ATLAS STRUCTURES

- STN
- ALIC / NAcc
- GPI
- GPe
- Putamen

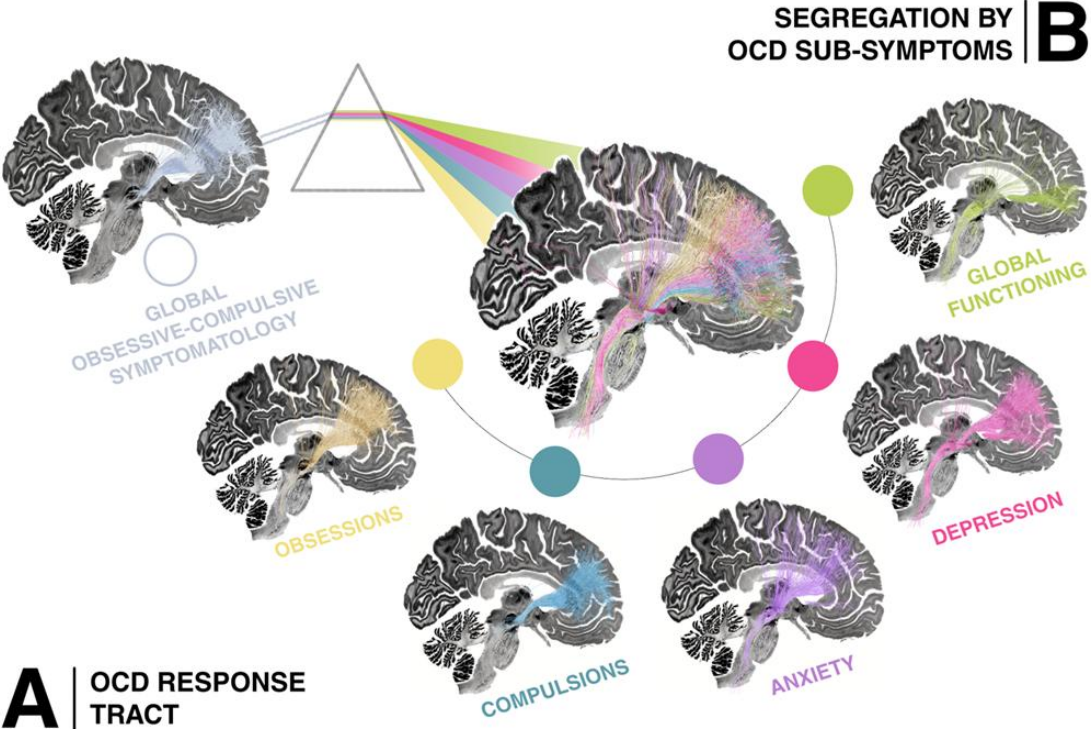
## SEGREGATION BY OCD SUB-SYMPOMS



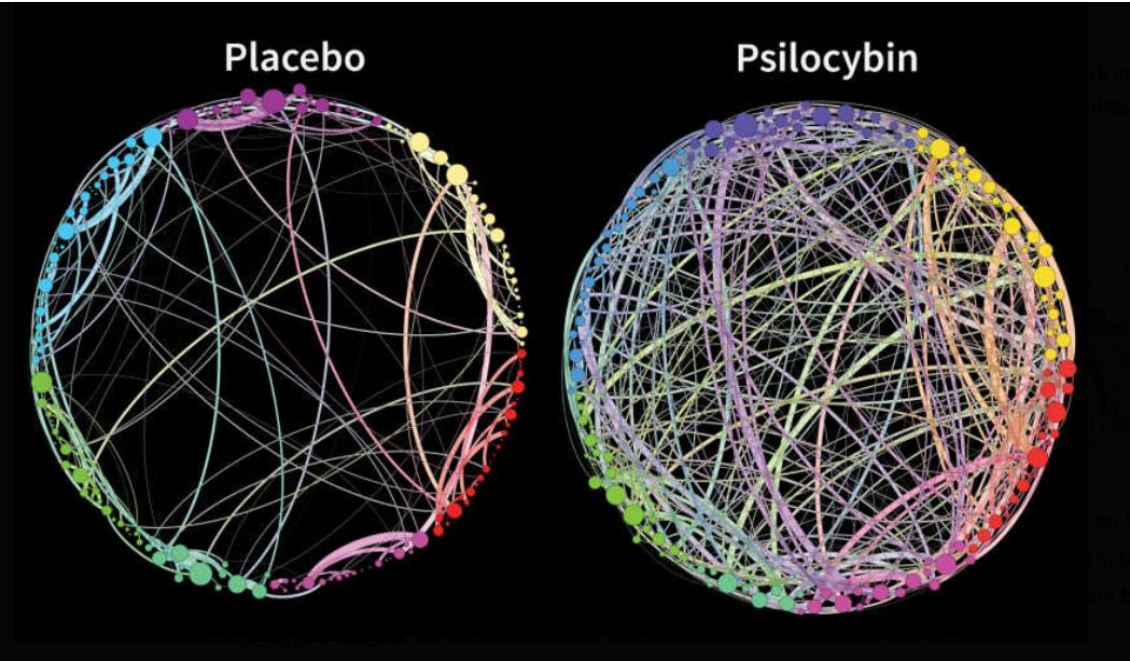
Hollunder et al., (unpublished)



# DBS: Connectome approach



# PAP: Empirical and theoretical rationale



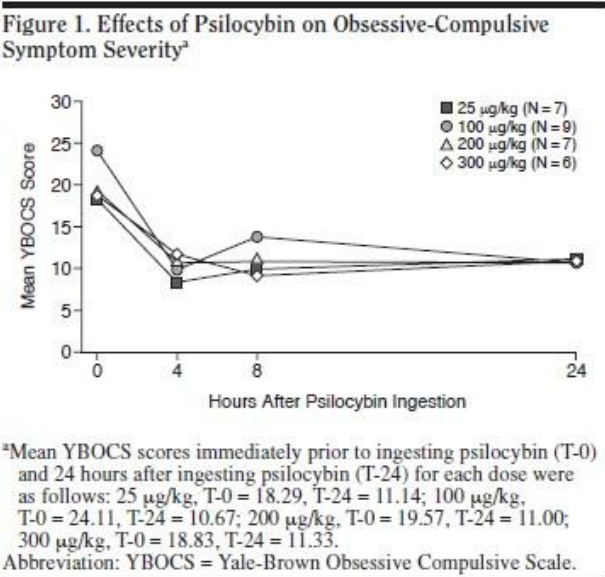
Overlapping psychological and neurobiological impairments	Psychedelic effects	Potential therapeutic outcomes				
<ul style="list-style-type: none"><li>•Neurocognitive dysfunction- cognitive inflexibility</li><li>•Fear/threat/negative cognitive bias</li><li>•Compulsive/ ritualistic/ avoidant behaviours</li><li>•Hypervigilance and hyperarousal</li><li>•Dysregulated serotonergic and dopaminergic systems</li><li>•Disengaged in psychotherapy</li></ul>	<ul style="list-style-type: none"><li>•Psychological insight</li><li>•Enhanced mood</li><li>•Shifts in perceptions of the self and world</li><li>•Regulated serotonergic and dopaminergic signalling</li><li>•Network connectivity modulations</li><li>•Mystical/spiritual experiences</li><li>•Deep processing and healing</li></ul>	<ul style="list-style-type: none"><li>•Increased cognitive flexibility</li><li>•Improved emotional regulation</li><li>•Broader perspective</li><li>•Greater self-love and compassion</li><li>•Acceptance, appreciation</li><li>•Somatic insights</li><li>•Separation/ distance from symptoms</li><li>•Understanding contributing factors of condition</li><li>•Reduction of pathological rigidity and compulsive behaviours</li></ul>				



# PAP: Current evidence

1 completed open label trial (Moreno et al., 2006; Kelmendi et al., 2022)

- **9 OCD patients:**  $\geq 1$  treatment failure (average 3.4), moderate- extreme symptom severity (average YBOCS- 24)
- **Dose:** very low (2.5mg), low dose (10mg), medium dose (20mg), high dose (30mg)- escalating order with randomized very low dose- all well tolerated
- **Efficacy:** 67% full response (50% improvement), 89% partial response (25% improvement).
- **Limitations:** minimal rapport building, no structured psychological support (preparatory or integration), only non-directive support during psychedelic experience



- Phase II open label basket trial: OCD, BDD, anorexia
- 2 x 25mg doses, 4-weeks apart
- Primary outcomes: clinical response in primary symptoms (objective and subjective)
- Secondary outcomes: depression, anxiety, insight, quality of life, global functioning
- 3-month follow up period
  
- Preparation: psychoeducation booklet, 1-hour with researcher, 3-hours with therapists
- Non-directive integration: trauma informed approach, shadow work, internal family systems, compassion focused therapy- 6-hours with therapists, and check in calls day prior to dosing
  
- Registration: ACTRN12624001160527

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# PAP: Ongoing trials

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Location	Trial phase, Status	Target cohort	Dosage	Methods	Psychotherapy	Registry
US- Yale University	Phase 1, completed	31	25mg	RCT, open label follow-up.	Preparatory and follow up support.	<a href="#">NCT03356483</a>
US- University of Arizona	Phase 1, completed	15	10mg, 30mg	RCT (3-arms), low dose, high dose or placebo, 4 doses	-	<a href="#">NCT03300947</a>
UK- Imperial College London	Phase 1, completed	19	10mg (across 2 doses)	2 doses	-	<a href="#">NCT06258031</a>
US- Yael University	Phase 1, not yet recruiting	30	25mg, 30mg	RCT, waitlist control, 2 doses	2 integration sessions.	<a href="#">NCT05370911</a>
Israel- Beersheva Mental Health Centre	Phase 1, not yet recruiting	15	-	Open label, 3 doses	12 preparation & integration, 3 dosing.	<a href="#">NCT04882839</a>
Toronto- Centre for Addiction and Mental Health	Phase 1,not yet recruiting	10	25mg	Open label, 2 doses	2 integration sessions.	<a href="#">NCT06299319</a>
US- John Hopkins University	Phase 1, Recruiting	30	20mg, 30mg	Open label, waitlist control group, dosage increased if tolerated.	Administered under supportive conditions.	<a href="#">NCT05546658</a>

# Conclusions

- Lack of specialised treatment options for severe OCD patients
- Robust evidence to support TMS and DBS therapy for difficult to treat and TR-OCD patients
- Transdiagnostic and theoretical evidence to support PAP for OCD
- Improved treatment approaches- standardised, multi-disciplinary and personalised therapy
- Advocate for greater access to care

Questions?

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Tractography surgical targeting <sup>35</sup>

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ARTICLECheck for updates

Tractography-based versus anatomical landmark-based targeting in vALIC deep brain stimulation for refractory obsessive-compulsive disorder

Ilse Graat<sup>1</sup>, Roel J. T. Mocking<sup>1</sup>, Luka C. Liebrand<sup>1,2</sup>, Pepijn van den Munckhof<sup>3</sup>, Maarten Bot<sup>3</sup>, P. Rick Schuurman<sup>3</sup>, Isidoor O. Bergfeld<sup>1,4</sup>, Guido van Wingen<sup>1,4</sup> and Damiaan Denys<sup>1</sup>

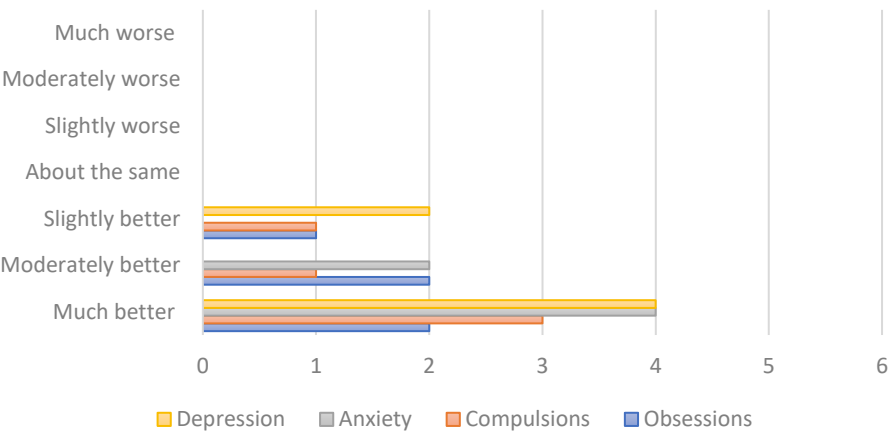
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- Tractography targeting:
- 65% responders
  - 3 hypomanic AEs
- Conventional targeting
- 45% responders
  - 11 hypomanic AEs

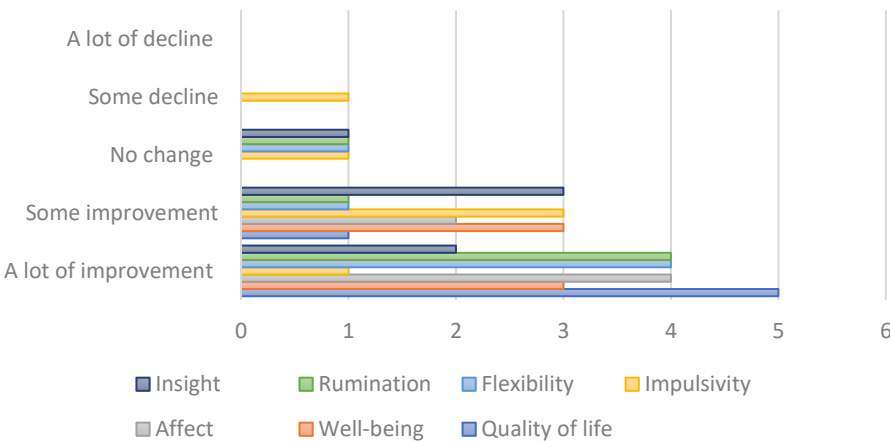
Deep brain stimulation (DBS) of the ventral anterior limb of the internal capsule (vALIC) is effective for refractory obsessive-compulsive disorder (OCD). Retrospective evaluation showed that stimulation closer to the supero-lateral branch of the medial forebrain bundle (slMFB), within the vALIC, was associated with better response to DBS. The present study is the first to compare outcomes of DBS targeted at the vALIC using anatomical landmarks and DBS with connectomic tractography-based targeting of the slMFB. We included 20 OCD-patients with anatomical landmark-based DBS of the vALIC that were propensity score matched to 20 patients with tractography-based targeting of electrodes in the slMFB. After one year, we compared severity of OCD, anxiety and depression symptoms, response rates, time to response, number of parameter adjustments, average current, medication usage and stimulation-related adverse effects. There was no difference in Y-BOCS decrease between patients with anatomical landmark-based and tractography-based DBS. Nine (45%) patients with anatomical landmark-based DBS and 13 (65%) patients with tractography-based DBS were responders ( $BF_{10} = 1.24$ ). The course of depression and anxiety symptoms, time to response, number of stimulation adjustments or medication usage did not differ between groups. Patients with tractography-based DBS experienced fewer stimulation-related adverse effects than patients with anatomical landmark-based DBS (38 vs 58 transient and 1 vs. 17 lasting adverse effects;  $BF_{10} = 14.968$ ). OCD symptoms in patients with anatomical landmark-based DBS of the vALIC and tractography-based DBS of the slMFB decrease equally, but patients with tractography-based DBS experience less adverse effects.

# DBS: Lived experiences

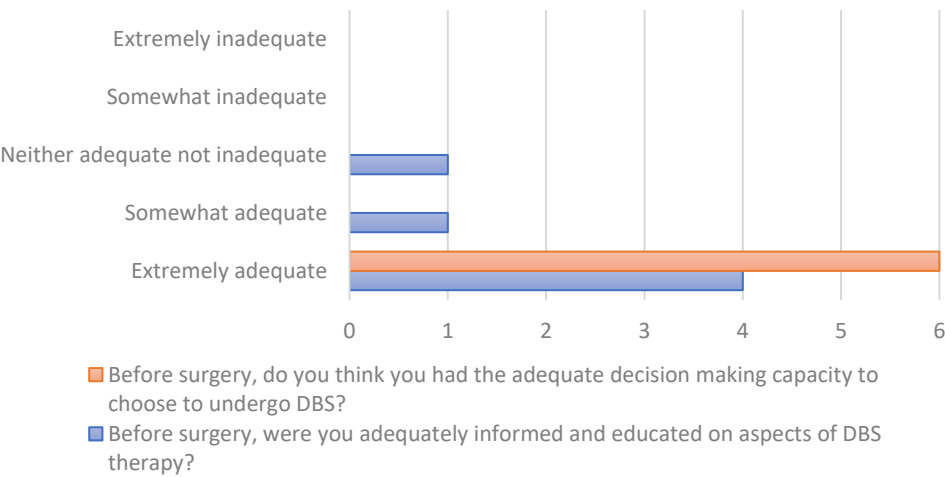
Subjective symptomatic change



Subjective functional change



Informed consent



How likely are you to recommend DBS therapy to other OCD patients?

